10599925 - GAU: 1793

Receipt date: 02/20/2007

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number Filing Date		10599925 2006-10-13		
INFORMATION DISCLOSURE	First Named Inventor	Walte	er J. Symes, Jr		
STATEMENT BY APPLICANT	Art Unit				
( Not for submission under 37 CFR 1.99)	Examiner Name				
	Attorney Docket Number		FER-16709.001.001.001		

w			, .	U.S.	PATENTS				
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Pat of cited Docu	entee or Applicant ument		Columns,Lines where at Passages or Releva Appear	
/NSW/	1	4987108		1991-01-22	Takagi et al.				
/NSW/	2	5998036		1999-12-07	Stein et al.				
/NSW/	3	5756408		1998-05-26	Terashi et al.		-		
/NSW/	4	6355586		2002-03-12	Usui et al.				
If you wis	h to a	dd additional U.S. Pate	nt citatio	n information p	lease click the	Add button.			
			U.S.P	ATENT APPL	CATION PUB	LICATIONS			
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	1								
If you wis	h to a	l dd additional U.S. Publ	ished Ap	plication citation	n information	please click the Ad	d button.	<u> </u>	
				FOREIGN PA	TENT DOCUM	MENTS			
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>		Publication Name of Patentee of where Relevant		assages or Relevant	T5	

Receipt date: 02/20/2007  INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		Application Number	10599925	10599925 - GAU: 1793				
		Filing Date	2006-10-13	2006-10-13				
		First Named Inventor Walter J. Symes, Jr						
		Art Unit						
		Examiner Name						
				Attorney Docket Num	ber FER-16709.001	.001.001		
/NSW/								
/11/11/11	2005094026	JP	2005-04-	07 Asahi Glass Co. Li	td.			
-								
		0000440007	10	2002.05	21 Miwa Denzai YG			
/NSW/	NSW/ 2 2003146697 JP 2003		2003-05-	-US-21 Milwa Denzai 13				
If you wis	h to a	dd additional For	eign Patent Do	cument citation informat	ion please click the Add	l d button		
n you wis		ad daditional For		I-PATENT LITERATURI				
		Include name o				appropriate), title	of the item	
Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the ar						er(s), T <sup>5</sup>		
mudio		publisher, city a	and/or country	where published.				
2.00				ng Application PCT/US06/35426, dated February 9, 2007, 14 pages				
/NSW/ 1 Search Report for Corresponding								
		<u> </u>				n Andri In Little In		
If you wis	n to a	dd additional nor	-patent literati	re document citation info		e Add bullon	<del></del>	
	<u> </u>		134	EXAMINER SIGNA			10040	
Examiner		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Wiese/		Date Consid	30/00	/2010	
*EXAMIN citation if	ER: Ir not in	nitial if reference conformance an	considered, w d not consider	ether or not citation is in ed. Include copy of this t	conformance with MPI form with next commun	EP 609. Draw line ication to applicar	e through a nt.	

Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 

Kind of document by the appropriate symbols as indicated on the document under WiPO Standard ST.16 if possible. 

Applicant is to place a check mark here if English language translation is attached.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		Application Number	10599925	10599925 10599925 - GAU: 179		
		Filing Date	2006-10-1	2006-10-13		
		First Named Inventor	Walter J. Symes	, Jr		
		Art Unit				
		Examiner Name				
		Attorney Docket Num	ber FER-1670	FER-16709.001.001.001		
			•			
		CERTIFICATION STA	TEMENT			
Please see 37 (	CFR 1.97 and 1.98 to make the	appropriate selection(s)				
		-pp. op.ia.c 00.000.011(0)	•			
That each	item of information contained	in the information discle	eura statament u	vas first cited in any communication		
from a fore	eign patent office in a counter	part foreign application	not more than th	ree months prior to the filing of the		
information	disclosure statement. See 37	CFR 1.97(e)(1).				
0.5						
OR		•				
That no ite	em of information contained in	the information disclos	ure statement wa	as cited in a communication from a		
foreign pat	tent office in a counterpart fore	eign application, and, to	the knowledge of	f the person signing the certification		
aπer maκι <i>r</i> anv individ	ig reasonable inquiry, no item i lual designated in 37 CFR 1.5	of information contained 56(c) more than three m	in the information on the prior to the	n disclosure statement was known to e filing of the information disclosure		
statement.	See 37 CFR 1.97(e)(2).	re(e) more than allog m	ondio pho to the	, ming of the internation discussive		
See attach	ad applification statement					
T	ed certification statement. th in 37 CFR 1.17 (p) has been	submitted because				
	in in 37 GFK 1.17 (p) has been	submitted nerewith.				
		SIGNATURE				
A signature of t	he applicant or representative i			0.18. Please see CFR 1.4(d) for the		
form of the signa	ature.					
Signature	/Kenneth A. Clark/	Date	(YYYY-MM-DD)	2007-02-20		
Name/Print	Kenneth A. Clark	Reg	stration Number	32119		
This collection of	if information is required by 37 i	CFR 1.97 and 1.98. The	information is rec	uired to obtain or retain a benefit by the		

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

Receipt date: 02/20/2007

## 10599925 - GAU: 1793

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act
  (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the
  Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
  court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
  negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records
  may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant
  to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.